



SAEG129.007APC  
DEA/KOA

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/518,216	Norio Murase	SAEG129.007APC
INTERNATIONAL APPLICATION NO.		
PCT/JP03/05648		
I.A. FILING DATE	PRIORITY DATE	
05/06/2003	06/19/2002	

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614

CONFIRMATION NO. 5734  
371 FORMALITIES LETTER



\*OC000000016657470\*

Date Mailed: 08/01/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/16/2004
- Copy of the International Search Report filed on 12/16/2004
- Information Disclosure Statements filed on 02/22/2005
- U.S. Basic National Fees filed on 12/16/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(f)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$130** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$260** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/518,216	PCT/JP03/05648	SAEG129.007APC

<b>Response to Missing Requirements Under 35 USC § 371</b>	Attorney Docket No.: SAEG129.007APC First Named Inventor: Norio MURASE, et al. Int'l Application No.: PCT/JP2003/005648 US Application No.: 10/518,216 Entered National Phase: December 16, 2004 Title: SEMICONDUCTOR SUPERFINE PARTICLE PHOSPHOR AND LIGHT EMITTING DEVICE
Direct all correspondence to Customer No.: 20995 Date: September 23, 2005 Page 1 of 2	

**Mail Stop PCT**  
United States Patent and Trademark Office  
PO Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

September 23, 2005

(Date)



Katsuhiro Arai, Reg. No. 43,315

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- (X) Copy of the Notification of Missing Requirements under 35 USC 371 dated August 1, 2005.
- (X) A Declaration and Power of Attorney signed by the inventors in two (2) pages.
- (X) An English translation of the International Application (35 USC 371(c)(2)) in 32 pages.
- (X) Preliminary Amendment in six (6) pages.
- (X) 3 sheets of Drawings.
- (X) PCT Request Form.
- (X) Verification of Translation.
- (X) Return prepaid postcard.

**Response to Missing  
Requirements Under  
35 USC § 371**

Attorney Docket No.: SAEG129.007APC  
First Named Inventor: Norio MURASE, et al.  
Int'l Application No.: PCT/JP2003/005648  
US Application No.: 10/518,216  
Entered National Phase: December 16, 2004  
Title: SEMICONDUCTOR SUPERFINE PARTICLE PHOSPHOR  
AND LIGHT EMITTING DEVICE

**Direct all correspondence to Customer No.: 20995**

Date: September 23, 2005


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**FILING FEES NOT YET PAID:**

FEE CALCULATION				
FEE TYPE		LARGE FEE	CALCULATION	TOTAL
Late Oath/Decl.	37 CFR § 1.492(h)	1617 (\$130)		\$130
Basic Fee		1631 (\$300)		(already paid)
Search Fee		1632 (\$500)		\$500
Examination Fee		1633 (\$200)		\$200
Excess Claims	28 - 20 = 8	1615 (\$50)	8 x 50 =	\$400
Excess Ind. Claims	1 - 3 = 0	1614 (\$200)	0 x 200 =	\$0
			<b>SUB TOTAL</b>	<b>\$1,230</b>
Late English Trans	37 CFR § 1.492(i)	1618 (\$130)		\$130
			<b>TOTAL FEE DUE</b>	<b>\$1,360</b>

(X) A check in the amount of **\$1,360** is enclosed to cover the above fees.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.

  
Katsuhiko Arai  
Registration No. 43,315  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

10/03/2005 GREY1 00000230 10518216

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02 FC:1615 400.00 00  
03 FC:1618 130.00 00  
04 FC:1206 700.00 00

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